

CHORPENNING, GOOD, CARLET & GARRISON
ATTORNEYS AT LAW

CHORPENNING, GOOD & PANDORA Co., L.P.A.

77 EAST NATIONWIDE BLVD.
COLUMBUS, OHIO 43215-2539
(614) 228-8136
FAX (614) 469-0122

CARLET, GARRISON KLEIN & ZARETSKY, L.L.P.

645 FIFTH AVENUE, SUITE 703
NEW YORK, NEW YORK 10022
(212) 869-2147
FAX (973) 777-0412

REPLY TO: NEW YORK

Electronically Filed

March 23, 2006

Clerk, U.S. District Court
Eastern District of New York
U.S. Courthouse – 225 Cadman Plaza East
Brooklyn, New York 11201

Re: AMERICAN EXPRESS FINANCIAL ADVISORS, INC. against
FRANK P. MARZANO
Civil Action No: 04-1401 (LDW)

Dear Sir/Madam:

Attached to this letter, please find Exhibit "A" to the Declaration of Elliott R. Good, in connection with motion of plaintiff, American Express Financial Advisors, Inc., to vacate the arbitration award of Arbitrators of the National Association of Securities Dealers, Inc., which was inadvertently omitted from our electronic submission to the Court on March 22, 2006.

By copy of this letter, I am forwarding same to Judge Leonard D. Wexler and Thomas M. Campbell, Esq., via Federal Express Overnight Courier Service.

I apologize for any inconvenience this may have caused the Court.

Very truly yours,

/S/
MICHAEL J. ZARETSKY

MJZ:lf

Enclosures

cc: Honorable Leonard D. Wexler
Thomas M. Campbell, Esq.
(via Federal Express)

EXHIBIT “A”
to Declaration of
Elliott R. Good

NASD Dispute Resolution

www.nasd.com

Northeast Region

One Liberty Plaza • 165 Broadway • 27th Floor

New York NY • 10006-1400 • 212-858-4400 • Fax 212-858-4429

**VIA FACSIMILE**

January 18, 2006

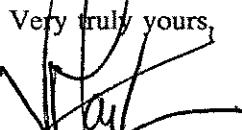
Michael Zaretsky, Esq.
Chorpenning, Good & Pandora Co., LPA
1135 Clifton Avenue
Clifton, NJ 07013

Subject: NASD Dispute Resolution Arbitration Number 04-02723
American Express Financial Advisors, Inc. vs. Frank Patrick Marzano

Dear Mr. Zaretsky:

As per your previous communication with Carol Owens of this office, this is to confirm that hearing tapes #1 and #2 for the hearing is blank, we apologize for any inconvenience this may have caused.

Very truly yours,



Vanier Martin
Case Administrator
212-858-4200 Fax: 301-527-4904

VM:CLO:LC53A
rc:06/02

RECIPIENTS:

Michael Zaretsky, Esq., Ameriprise Financial Services
Chorpenning, Good & Pandora Co., LPA, 1135 Clifton Avenue, Clifton, NJ 07013